

### **PCT REQUEST**

1097WOORD01

0 0-1	For receiving Office use only International Application No.	PCT/EP 0 3 / 0	3076
0-2	International Filing Date	2 5. 03. 03	2.5 MAR 2003
0-3	Name of receiving Office and "PCT International Application"	EUROPEAN PATENT PCT INTERNATIONA	OFFICE LAPPLICATION

0-4	Form - PCT/RO/101 PCT Request	
0-4-1	Prepared using	PCT-EASY Version 2.92
	·	(updated 01.01.2003)
0-5	Petition	
	The undersigned requests that the present international application be processed according to the Patent Cooperation Treaty	
0-6	Receiving Office (specified by the applicant)	European Patent Office (EPO) (RO/EP)
0-7	Applicant's or agent's file reference	1097WOORD01
1	Title of Invention	NOVEL ALKOXYPYRIDINE-DERIVATIVES
11	Applicant	·
II-1	This person is:	applicant only
11-2	Applicant for	all designated States except US
11-4	Name	ALTANA PHARMA AG
11-5	Address:	Byk-Gulden-Str. 2
		D-78467 Konstanz
		Germany
11-6	State of nationality	DE
II-7	State of residence	DE
11-8	Telephone No.	+49(0)7531-845365
11-9	Facsimile No.	+49(0)7531-845321
II-10	e-mail	DEKON.IPPA-DE@altanapharma.com



111-1	Applicant and/or inventor	
III-1-1	This person is:	applicant and inventor
111-1-2	Applicant for	US only
III-1-3	Inventor for	EA: (AM AZ BY KG KZ MD RU TJ TM); EP:
		(AT BE BG CH&LI CY CZ DE DK EE ES FI FR
		GB GR HU IE IT LU MC NL PT SE SI SK TR);
		AE AL AU BA BR CA CN CO CU DZ EC GE HR
		ID IL IN IS JP KR LT LV MA MK MX NO NZ
Ì		PH PL SG TN UA US VN YU ZA ZW
111-1-4	Name (LAST, First)	ULRICH, Wolf-Rüdiger
III-1-5	Address:	Alpenstr. 2
		D-78464 Konstanz
		Germany
	State of nationality	DE
	State of residence	DE
	Applicant and/or inventor	
III-2-1	This person is:	inventor only
III-2-3	Inventor for	EA: (AM AZ BY KG KZ MD RU TJ TM); EP:
		(AT BE BG CH&LI CY CZ DE DK EE ES FI FR
		GB GR HU IE IT LU MC NL PT SE SI SK TR);
		AE AL AU BA BR CN CO CU DZ EC GE HR ID
		IL IN IS JP KR LT LV MA MK MX NO NZ PL
111-2-4	Name (LAST, First)	SG TN UA VN YU ZA ZW
1	Address:	BOER, Rainer
111-2-5	Address.	Rebbergstraße 43
l		D-78464 Konstanz Germany
111-3	Applicant and/or inventor	Germany
	This person is:	inventor only
	Inventor for	EA: (AM AZ BY KG KZ MD RU TJ TM); EP:
		(AT BE BG CH&LI CY CZ DE DK EE ES FI FR
]		GB GR HU IE IT LU MC NL PT SE SI SK TR);
		AE AL AU BA BR CN CO CU DZ EC GE HR ID
		IL IN IS JP KR LT LV MA MK MX NO NZ PL
l		SG TN UA VN YU ZA ZW
III-3-4 i	Name (LAST, First)	MARX, Degenhard
III-3-5	Address:	Obere Reute 15
		D-78345 Moos
		Germany

III-4	Applicant and/or inventor	
<b>III-4</b> -1	This person is:	inventor only
111-4-3	Inventor for	EA: (AM AZ BY KG KZ MD RU TJ TM); EP:  (AT BE BG CH&LI CY CZ DE DK EE ES FI FR GB GR HU IE IT LU MC NL PT SE SI SK TR); AE AL AU BA BR CN CO CU DZ EC GE HR ID IL IN IS JP KR LT LV MA MK MX NO NZ PL SG TN UA VN YU ZA ZW
III <del>-4-4</del>	Name (LAST, First)	ELTZE, Manfrid
III-4-5	Address:	Schützenstraße 20 D-78462 Konstanz Germany
111-5	Applicant and/or Inventor	
-5-1	This person is:	inventor only
III-5-3	inventor for	EA: (AM AZ BY KG KZ MD RU TJ TM); EP: (AT BE BG CH&LI CY CZ DE DK EE ES FI FR GB GR HU IE IT LU MC NL PT SE SI SK TR); AE AL AU BA BR CN CO CU DZ EC GE HR ID IL IN IS JP KR LT LV MA MK MX NO NZ PL SG TN UA VN YU ZA ZW
111-5-4	Name (LAST, First)	KLEIN, Thomas
111-5-5	Address:	Döbelestr. 16 D-78462 Konstanz Germany
III-6	Applicant and/or inventor	
JII-6-1	This person is:	inventor only
III-6-3	inventor for .	EA: (AM AZ BY KG KZ MD RU TJ TM); EP: (AT BE BG CH&LI CY CZ DE DK EE ES FI FR GB GR HU IE IT LU MC NL PT SE SI SK TR); AE AL AU BA BR CN CO CU DZ EC GE HR ID IL IN IS JP KR LT LV MA MK MX NO NZ PL SG TN UA VN YU ZA ZW
III-6-4	Name (LAST, First)	NAVE, Rüdiger
III-6-5	Address:	Friedrichstraße 46 D-78464 Konstanz Germany

111-7	Applicant and/or inventor	
111-7-1	This person is:	inventor only
111-7-3	Inventor for	EA: (AM AZ BY KG KZ MD RU TJ TM); EP:  (AT BE BG CH&LI CY CZ DE DK EE ES FI FR  GB GR HU IE IT LU MC NL PT SE SI SK TR);  AE AL AU BA BR CN CO CU DZ EC GE HR ID  IL IN IS JP KR LT LV MA MK MX NO NZ PL  SG TN UA VN YU ZA ZW
111-7-4	Name (LAST, First)	GRÄDLER, Ulrich
III-7-5	Address:	Winkelstr. 2
		D-78467 Konstanz
		Germany
111-8	Applicant and/or inventor	
111-8-1	This person is:	inventor only
111-8-3	Inventor for	EA: (AM AZ BY KG KZ MD RU TJ TM); EP:
III-8-4	Name (LAST, First)	(AT BE BG CH&LI CY CZ DE DK EE ES FI FR GB GR HU IE IT LU MC NL PT SE SI SK TR); AE AL AU BA BR CN CO CU DZ EC GE HR ID IL IN IS JP KR LT LV MA MK MX NO NZ PL SG TN UA VN YU ZA ZW
	, , , ,	FUCHS, Thomas
III-8-5	Address:	Mezgerwaidring 43 D-78315 Radolfzell Germany
111-9	Applicant and/or Inventor	
III- <del>9</del> -1	This person is:	inventor only
iii- <del>9-</del> 3	Inventor for	EA: (AM AZ BY KG KZ MD RU TJ TM); EP: (AT BE BG CH&LI CY CZ DE DK EE ES FI FR GB GR HU IE IT LU MC NL PT SE SI SK TR); AE AL AU BA BR CN CO CU DZ EC GE HR ID IL IN IS JP KR LT LV MA MK MX NO NZ PL SG TN UA VN YU ZA ZW
111-9-4	Name (LAST, First)	BARSIG, Johannes
III-9-5	Address:	Bleichenweg 11 D-78467 Konstanz Germany



IV-1	Agent or common representative; or address for correspondence The person identified below is hereby/has been appointed to act on behalf of the applicant(s) before the competent International Authorities as:	common representative
IV-1-1	Name	ALTANA PHARMA AG
IV-1-2	Address:	Byk-Gulden-Straße 2
		D-78467 Konstanz
		Germany
IV-1-3	Telephone No.	+49-(0)7531-845365
IV-1-4	Facsimile No.	+49-(0)7531-845321
IV-1-5	e-mail	DEKON.IPPA-DE@altanapharma.com
V	Designation of States	
V-1	Regional Patent	EA: AM AZ BY KG KZ MD RU TJ TM and any
	(other kinds of protection or treatment, if any, are specified between	other State which is a Contracting State
	parentheses after the designation(s)	of the Eurasian Patent Convention and of
	concerned)	the PCT
		EP: AT BE BG CH&LI CY CZ DE DK EE ES FI
		FR GB GR HU IE IT LU MC NL PT SE SI SK
		TR and any other State which is a
		Contracting State of the European Patent
		Convention and of the PCT
V-2	National Patent	AE AL AU BA BR CA CN CO CU DZ EC GE HR
	(other kinds of protection or treatment, if any, are specified between	ID IL IN IS JP KR LT LV MA MK MX NO NZ
	parentheses after the designation(s)	PH PL SG TN UA US VN YU ZA ZW
V-5	concerned) Precautionary Designation Statement	
	In addition to the designations made	
	under items V-1, V-2 and V-3, the	
	applicant also makes under Rule 4.9(b) all designations which would be	
	permitted under the PCT except any	
	designation(s) of the State(s) indicated under item V-6 below. The applicant	
	declares that those additional	
j	designations are subject to confirmation	
	and that any designation which is not confirmed before the expiration of 15	
	months from the priority date is to be	
	regarded as withdrawn by the applicant at the expiration of that time limit.	
V-6	Exclusion(s) from precautionary	NONE
VI-1	designations	
A1-1	Priority claim of earlier regional application	
VI-1-1	Filing date	27 March 2002 (27.03.2002)
VI-1-2	Number	02007049.6
VI-1-3	Regional Office	EP
VII-1	International Searching Authority Chosen	European Patent Office (EPO) (ISA/EP)

VII-2	Request to use results of earlier search; reference to that search	
VII-2-1	Date	20 August 2002 (20.08.2002)
VII-2-2	Number	EP02007049
VII-2-3	Country (or regional Office)	EP
VIII	Declarations	Number of declarations
VIII-1	Declaration as to the identity of the inventor	-
VIII-2	Declaration as to the applicant's entitlement, as at the international filing date, to apply for and be granted a patent	1
VIII-3	Declaration as to the applicant's entitlement, as at the international filling date, to claim the priority of the earlier application	1
VIII-4	Declaration of inventorship (only for the purposes of the designation of the United States of America)	1
VIII-5	Declaration as to non-prejudicial disclosures or exceptions to tack of novelty	-

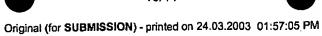
1/111 0 4	Declarations Entitlement to apply for	
VIII-2-7	Declaration: Entitlement to apply for and be granted a patent	
	Declaration as to the applicant's	in relation to this international
	entitlement, as at the international filing	application
	date, to apply for and be granted a patent (Rules 4.17(ii) and 51bis.1(a)(ii)),	
	in a case where the declaration under	
	Rule 4.17(iv) is not appropriate:	
	Name:	ALTANA PHARMA AG
		is entitled to apply for and be granted
		a patent by virtue of the following:
VIII-2-1		ALTANA PHARMA AG is entitled as employer
(ii) VIII-2-1		of the inventor, BOER, Rainer
VIII-2-1		ALTANA PHARMA AG is entitled as employer
(ii) VIII-2-1		of the inventor, MARX, Degenhard
VIII-2-1		ALTANA PHARMA AG is entitled as employer
(ii) VIII-2-1		of the inventor, ELTZE, Manfrid
VIII-2-1		ALTANA PHARMA AG is entitled as employer
(ii) VIII-2-1		of the inventor, KLEIN, Thomas
VIII-2-1		ALTANA PHARMA AG is entitled as employer
(li) VIII-2-1		of the inventor, NAVE, Rüdiger
VIII-2-1		ALTANA PHARMA AG is entitled as employer
(ii) VIII-2-1		of the inventor, GRÄDLER, Ulrich
VIII-2-1		ALTANA PHARMA AG is entitled as employer
(il) VIII-2-1		of the inventor, FUCHS, Thomas
VIII-2-1		ALTANA PHARMA AG is entitled as employer
(ii) VIII-2-1		of the inventor, BARSIG, Johannes
VIII-2-1		ALTANA PHARMA AG is entitled as employer
(ii)		of the inventor, ULRICH, Wolf-Rüdiger
VIII-2-1	This declaration is made for the purposes of:	all designations except the designation
(ix)	pulposs of.	of the United States of America

VIII-3-1	Declaration: Entitlement to claim priority	
	Declaration as to the applicant's	in relation to this international
	entitlement, as at the international filing date, to claim the priority of the earlier application specified below, where the applicant is not the applicant who filed the earlier application or where the applicant's name has changed since the filing of the earlier application (Rules 4.17(iii) and 51bis.1(a)(iii)):	application
	Name:	ALTANA PHARMA AG
		is entitled to claim priority of earlier application No. EP02007049.6 by virtue of the following:
VIII-3-1		the applicant's name changed from Byk
(vili)		Gulden Lomberg Chemische Fabrik GmbH to ALTANA Pharma AG on 01 July 2002 (01.07.2002)
VIII-3-1 (ix)	This declaration is made for the purposes of:	all designations

VIII-4-1 Declaration: Inventorship (only for the purposes of the designation of the United States of America) I hereby declare that I believe I am the Declaration of Inventorship (Rules 4.17(iv) and 51bis.1(a)(iv)) for the original, first and sole (if only one purposes of the designation of the inventor is listed below) or joint (if United States of America: more than one inventor is listed below) inventor of the subject matter which is claimed and for which a patent is sought. This declaration is directed to the international application of which it forms a part (if filing declaration with application). I hereby declare that my residence, mailing address, and citizenship are as stated next to my name. I hereby state that I have reviewed and understand the contents of the above-identified international application, including the claims of said application. I have identified in the request of said application, in compliance with PCT Rule 4.10, any claim to foreign priority, and I have identified below, under the heading "Prior Applications," by application number, country or Member of the World Trade Organization, day, month and year of filing, any application for a patent or inventor's certificate filed in a country other than the United States of America, including any PCT international application designating at least one country other than the United States of America, having a filing date before that of the application on which foreign

priority is claimed.

VIII-4-1 Prior applications:



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# Original (for SUBMISSION) - printed on 24.03.2003 01:57:05 PM

IX	Check list	number of sheets	electronic file(s) attached
IX-1	Request (including declaration sheets)	11	-
IX-2	Description	42	445
IX-3	Claims	5	-
IX-4	Abstract	1	EZABST00.TXT
IX-5	Drawings	0	-
IX-7	TOTAL	59	
	Accompanying items	paper document(s) attached	electronic file(s) attached
IX-8	Fee calculation sheet	<b>/</b>	-
IX-13	Priority document(s)	Item(s) VI-1	-
IX-17	PCT-EASY diskette	-	Diskette
IX-19	Figure of the drawings which should accompany the abstract	/	
IX-20	Language of filing of the international application	English	
X-1	Signature of applicant, agent or common representative	<i>G</i> 1.	
X-1-1	Name (LAST, First)	ULRICH, Wolf-Rüdige	r
X-2	Signature of applicant, agent or common representative	78 //	1, R. W.L
X-2-1	Name	ALTANA PHARMA ASW	K, W.
X-2-2	Name of signatory	i.V.Dr.H.Rupp i.V.Dr.R.Wild	
X-2-3	Capacity	Head of patent Dept. Assistant Manager	

#### FOR RECEIVING OFFICE USE ONLY

10-1	Date of actual receipt of the purported international application	
10-2	Drawings:	
10-2-1	Received	
10-2-2	Not received	
10-3	Corrected date of actual receipt due to later but timely received papers or drawings completing the purported international application	
10-4	Date of timely receipt of the required corrections under PCT Article 11(2)	
10-5	International Searching Authority	ISA/EP
10-6	Transmittal of search copy delayed until search fee is paid	

### FOR INTERNATIONAL BUREAU USE ONLY

11-1	Date of receipt of the record copy by	 	
	the International Bureau	 	



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VIII-3-1	Declaration: Entitlement to claim priority Declaration as to the applicant's entitlement, as at the international filing date, to claim the priority of the earlier application specified below, where the applicant is not the applicant who filed the earlier application or where the applicant's name has changed since the filing of the earlier application (Rules 4.17(iii) and 51bis.1(a)(iii)): Name:	in relation to this international application  ALTANA PHARMA AG is entitled to claim priority of earlier application No. EP02007049.6 by virtue of the following:
VIII-3-1		the applicant's name changed from Byk Gulden Lomberg Chemische Fabrik GmbH to
(viii)		ALTANA Pharma AG on 01 July 2002
		(01.07.2002)
VIII-3-1	This declaration is made for the purposes of:	all designations
(JX)		



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PCT/EP03/03076

#### **PCT REQUEST**

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1097WOORD01

	Declaration: Inventorship (only for the purposes of the designation of the United States of America) Declaration of inventorship (Rules 4.17(iv) and 51bis.1(a)(iv)) for the purposes of the designation of the United States of America:	I hereby declare that I believe I am the original, first and sole (if only one inventor is listed below) or joint (if more than one inventor is listed below) inventor of the subject matter which is claimed and for which a patent is sought.  This declaration is directed to the international application of which it forms a part (if filing declaration with application).  I hereby declare that my residence, mailing address, and citizenship are as stated next to my name.  I hereby state that I have reviewed and understand the contents of the above-identified international application, including the claims of said application. I have identified in the request of said application, in compliance with PCT Rule 4.10, any claim to foreign priority, and I have identified below; under the heading.  "Prior Applications," by application number, country or Member of the World Trade Organization, day, month and year of filing, any application for a patent or inventor's certificate filed in a country other than the United States of America, including any PCT international application designating at least one country other than the United States of America, having a filing date before that of the application on which foreign priority is claimed.
VIII-4-1	Prior applications:	

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1097WOORD01

I hereby acknowledge the duty to disclose information that is known by me to be material to patentability as defined by 37 C.F.R. § 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the PCT international filing date of the continuation-in-part application. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued	
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of the application or any patent issued	
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VIII-1-1 Name: ULRICH, Wolf-Rüdiger	The state of the s
Vill-4-1 Residence: Konstanz, Germany	
-1-2 (city and either US State, if applicable,	
or country) VIII-4-1 Mailing address: Alpenstr. 2	
-1-3	
VIII-4-1 Citizenship: DE	
VIII-4-1 Inventor's Signature:	
-1-5 (if not contained in the request, or if	
declaration is corrected or added under Rule 26ter after the filing of the	
international application. The signature	
must be that of the inventor, not that of	
the agent) VIII-4-1 Date:	
-1-6 (of signature which is not contained in	
the request, or of the declaration that is corrected or added under Rule 26ter	
after the filing of the international	
application)	